Compliance checklist

To review compliance regulations and standards, read the [controls, frameworks, and compliance](https://www.coursera.org/learn/foundations-of-cybersecurity/supplement/xu4pr/controls-frameworks-and-compliance) document.

**\_\_\_\_\_ The Federal Energy Regulatory Commission - North American Electric**

**Reliability Corporation (FERC-NERC)**

The FERC-NERC regulation applies to organizations that work with electricity or that are involved with the U.S. and North American power grid. Organizations have an obligation to prepare for, mitigate, and report any potential security incident that can negatively affect the power grid. Organizations are legally required to adhere to the Critical Infrastructure Protection Reliability Standards (CIP) defined by the FERC.

**Explanation:**

**\_\_\_\_\_ General Data Protection Regulation (GDPR)**

GDPR is a European Union (E.U.) general data regulation that protects the processing of E.U. citizens’ data and their right to privacy in and out of E.U. territory. Additionally, if a breach occurs and a E.U. citizen’s data is compromised, they must be informed within 72 hours of the incident.

**Explanation: Botium need to adhere to this compliance due to their online presence and business needs growing. Customer data needs to be secured properly.**

**\_\_\_\_\_ Payment Card Industry Data Security Standard (PCI DSS)**

PCI DSS is an international security standard meant to ensure that organizations storing, accepting, processing, and transmitting credit card information do so in a secure environment.

**Explanation: Botium needs to adhere to this compliance due to their business exponentially growing larger. Customer payment information needs to be secure to avoid any fines and legal fees from a breach on customer payment information.**

**\_\_\_\_\_ The Health Insurance Portability and Accountability Act (HIPAA)**

HIPAA is a federal law established in 1996 to protect U.S. patients' health information. This law prohibits patient information from being shared without their consent. Organizations have a legal obligation to inform patients of a breach.

**Explanation:**

**\_\_\_\_\_ System and Organizations Controls (SOC type 1, SOC type 2)**

The SOC1 and SOC2 are a series of reports that focus on an organization's user access policies at different organizational levels. They are used to assess an organization’s financial compliance and levels of risk. They also cover confidentiality, privacy, integrity, availability, security, and overall data safety. Control failures in these areas can lead to fraud.

**Explanation: Botium needs to adhere to the SOC compliance due to being a business. They need to categorize their risks and have potential to suffer from financial loss if customer data is breached and stolen/comprimised.**